



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Richard D. Dettinger, et al.	:	Date: July 23, 2003
Group Art Unit:	Unassigned	:	IBM Corporation
Examiner:	Unassigned	:	Intellectual Property Law
Serial No.:	10/601,995	:	Dept. 917, Bldg. 006-1
Filed:	June 23, 2003	:	3605 Highway 52 North
Title:	METHOD OF ESTABLISHING A DATA MANAGEMENT FEE STRUCTURE BASED ON FINE GRAINED DATA ENTITIES	:	Rochester, MN 55901-7829

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No.: 10/601,995  
Docket No.: ROC920030158US1

PATENT

This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(1) within three months of the filing date of the present application. Accordingly no filing fee is required.

Date: July 23, 2003

Respectfully submitted,

By William J. McGinnis  
William J. McGinnis, Counsel  
Registration No. 25,698

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

July 23, 2003

(Date of Deposit)

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Serial No.: 10/601,995  
Docket No.: ROC920030158US1

FORM PTO-1449 (Modified)

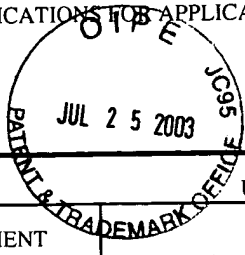
ATTY. DOCKET NO.  
ROC920030158US1SERIAL NO.  
10/601,995LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S  
INFORMATION  
DISCLOSURE STATEMENT

APPLICANT: Richard D. Dettinger, et al.

(Use several sheets if necessary)

FILING DATE: June 23, 2003

GROUP: Unassigned



## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
						YES	NO
	AL						
	AM						
	AN						
	AO						
	AP						

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AR	Dettinger et al., IBM Patent Application Serial No. 10/083,075 (ROC920020044US1), filed 02/26/2002, "Improved Application Portability and Extensibility Through Database Schema and Query Abstraction".
AS	Dettinger et al., IBM Patent Application Serial No. 10/403,366 (ROC920030005US1), filed 03/31/2003, "Modification of a Data Repository Based on an Abstract Data Representation".
AT	Dettinger et al., IBM Patent Application Serial No. 10/403,960 (ROC920030006US1), filed 03/31/2003, "Sequenced Modification of Multiple Entities Based on an Abstract Data Representation".

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)

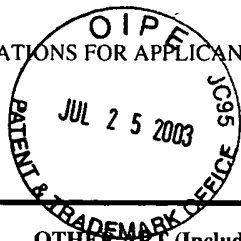
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## REFERENCE DESIGNATION

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

BA

Dettinger et al., IBM Patent Application Serial No. 10/132,228 (ROC920020088US1), filed 04/25/2002, "Dynamic End User Specific Customization of an Application's Physical Data Layer Through a Data Repository Abstraction Layer".

BB

Dettinger et al., IBM Patent Application Serial No. 10/131,984 (ROC920020089US1), filed 04/25/2002, "Remote Data Access and Integration of Distributed Data Sources Through Data Schema and Query Abstraction".

BC

Dettinger et al., IBM Patent Application Serial No. 10/403,356 (ROC920030008US1), filed 03/31/2003, "Dealing With Composite Data Through Data Model Entities".

BD

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.